

# CORRALES AND COMPANY LLC

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## PRIVACY POLICY *(revised 2026)*

Investment advisers are required by law to inform their clients of their policies regarding privacy of client information. We are bound by professional standards of confidentiality that are even more stringent than those required by law. Federal law gives the customer the right to limit some but not all sharing of personal information. It also requires us to tell you how we collect, share, and protect your personal information.

### **TYPES OF NONPUBLIC PERSONAL INFORMATION (NPI) WE COLLECT**

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some – but not all – sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information.

The types of personal information we collect, and share depend on the product or service you have with us. This information can include Social Security numbers, date of birth, financial account numbers and balances, investment experience and transaction history, income and asset information, and banking information. When you are no longer our client, we continue to share your information as described in this notice, as permitted by law.

### **PARTIES TO WHOM WE DISCLOSE INFORMATION**

All Investment Advisers may need to share personal information to run their everyday business. In the section below, we list the reasons that we may share your personal information:

- For everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus;
- For our marketing – to offer our products and services to you;
- For joint marketing with other financial companies;
- For our affiliates' everyday business purposes – information about your transactions and experiences and information about your creditworthiness; or
- For affiliates and non-affiliates to market to you.

If you are a new customer, we may begin sharing your information on the day you sign our agreement. When you are no longer our customer, we may continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing.

### **PROTECTING THE CONFIDENTIALITY OF CURRENT AND FORMER CLIENT'S INFORMATION**

We protect current and former clients' personal information using administrative, technical, and physical safeguards. We maintain written policies reasonably designed to safeguard customer information, oversee service providers, and respond to security incidents in accordance with Regulation S-P. We collect information when you enter into advisory agreements, complete onboarding forms, direct us to buy or sell securities, provide financial information, or communicate with us. We may also collect information from custodians or brokers with your authorization. We maintain an incident response program to detect, respond to, and recover from unauthorized access to sensitive customer information. If required by law, we will notify affected individuals. We retain personal information as required by law and securely dispose of it when it is no longer needed.

### **THE RIGHT TO LIMIT SHARING - OPTING OUT AND OPTING IN**

Federal law allows you the right to limit the sharing of your NPI by "opting-out" of the following: sharing for affiliates' everyday business purposes – information about your creditworthiness; or sharing with affiliates or non-affiliates who use your information to market to you. State laws and individual companies may give you additional rights to limit sharing. Please notify us immediately if you choose to opt out of these types of sharing.

State law gives you additional rights to limit sharing. Under state law, we may not share non-public personal information about you with non-affiliates except service providers or for joint marketing unless you first give us written permission ("opting-in"). If you do not give us permission to share your information, then we are prohibited from sharing this information with non-affiliates.

DEFINITIONS: Affiliates – companies related by common ownership or control. They can be financial and non-financial companies; Non-affiliates – companies not related by common ownership or control. They can be financial and non-financial companies; Joint marketing – a formal agreement between non-affiliated financial companies that together market financial products or services to you; Service provider – a person or company who assists our firm in administering, processing, or servicing a client’s account.

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*Please call if you have any questions. Your privacy, our professional ethics, and the ability to provide you with quality financial services are very important to us.*